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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/765,668

01/27/2004

David B. Rozema

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7590

01/24/2005

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EXAMINER

DUNSTON, JENNIFER ANN

ART UNIT

PAPER NUMBER

1636

DATE MAILED: 01/24/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/765,668	Applicant(s) ROZEMA ET AL.	
	Examiner Jennifer Dunston	Art Unit 1636	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 January 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) 1-4,9-11 and 18-20 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 5-8 and 12-17 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>10/14/04</u> . | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

Election/Restrictions

Applicant's election without traverse of Group III (claims 5-8 and 12-17) in the reply filed on 1/4/2005 is acknowledged.

Claims 1-4, 9-11 and 18-20 are withdrawn from further consideration, as being drawn to a nonelected invention. An examination on the merits of claims 5-8 and 12-17 follows.

Information Disclosure Statement

Receipt of an information disclosure statement, filed on 10/14/2004, is acknowledged. The signed and initialed PTO 1449 has been mailed with this action.

Specification

The disclosure is objected to because of the following informalities: there appears to be a typographical error on page 15, line 12, in that the disclosed nucleic acid sequence is labeled as "SEQ ID 10." A single sequence is provided in the sequence listing. The sequence on page 15 appears to correspond to SEQ ID NO: 1.

Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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Claims 5-8 and 12-17 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 5 is vague and indefinite in that the metes and bounds of the term “styrene-maleic anhydride-based random copolymers” are unclear. The specification does not provide a standard for ascertaining the degree to which a styrene-maleic anhydride copolymer can be structurally altered, and one of ordinary skill in the art would not know how much one could vary the chemical structure in terms of the polymer subunits, for example, and meet the limitations of the claimed invention.

Claim 12 is vague and indefinite in that the metes and bounds of the term “vinyl ether-maleic anhydride-based alternating copolymers” are unclear. The specification does not provide a standard for ascertaining the degree to which a vinyl ether-maleic anhydride alternating copolymer can be structurally altered, and one of ordinary skill in the art would not know how much one could vary the chemical structure in terms of the polymer subunits, for example, and meet the limitations of the claimed invention.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an

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international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 5-8 are rejected under 35 U.S.C. 102(b) as being anticipated by Maeda et al (US Patent No. 4,732,933; see the entire reference).

Maeda et al teach half-esterified styrene-maleic anhydride copolymers (SMA) covalently bound to the antitumor drug neocarzinostatin (NCS) (e.g. column 4, lines 4-10; column 3, lines 25-47). Maeda et al teach the following maleic acid units, wherein R is a monohydric alcohol residue or a residue of monohydroxyalkyl ether of di- or trihydric alcohol (e.g. column 1, lines 20-46):

(1) maleic acid residue bonded to NCS



(2) maleic acid residue which is free or bound to NCS by non-covalent bonding



provided that the total amount of the above described 35
maleic acid residues (1) and (2) is from an average content of 0.1 per molecule to 60 mol % of SMA, and
(3) half-esterified maleic acid residue



One such embodiment disclosed by Maeda et al is neocarzinostatin-half butyl-esterified styrene-maleic acid copolymer complex (SMANX) (e.g. Example 1).

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Claims 5 and 12-14 are rejected under 35 U.S.C. 102(e) as being anticipated by Tonge et al (US Patent No. 6,436,905; see the entire reference).

Tonge et al teach a composition comprising a synthetic amphipathic polymer, including both hydrophobic groups and anionic hydrophilic groups and acting as a lipid-solubilizing agent (e.g. column 3, lines 49-52). Tonge et al teach that especially suitable polymers may be formed as alternating copolymers of maleic acid (or the anhydride thereof) with styrene, indene or a C₁₋₄ alkyl, e.g. methyl substituted styrene or indene, or with propyl (or isopropyl) or butyl vinyl ether (e.g. column 6, lines 27-31, 60-63). Tonge et al disclose examples of suitable polymers, including Poly(maleic anhydride-styrene) (a random copolymer), Poly(maleic anhydride-propyl vinyl ether), and Poly(maleic anhydride-butyl vinyl ether) (e.g. column 6, lines 60-63).

Claims 12-13 and 15-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Calcaterra et al (US Patent No. 5,118,551; see the entire reference).

Calcaterra et al teach a copolymer consisting of hydrolyzed aromatic-containing vinyl ether maleic anhydride copolymer, a half ester of an aromatic-containing vinyl ether maleic anhydride copolymer, and mixtures thereof (e.g. column 1, lines 60-68). Embodiments disclosed by Calcaterra et al include an alternating copolymer prepared from phenyl vinyl ether and maleic anhydride, and the half isopropyl ester product of the copolymer (e.g. column 5, lines 1-7). Further, Calcaterra et al teach that the half isopropyl ester product of the alternating copolymer prepared from phenyl vinyl ether and maleic anhydride is preferred for resistance to hot coffee staining, and thus the isopropyl ester is a functional group (e.g. column 5, lines 1-13).

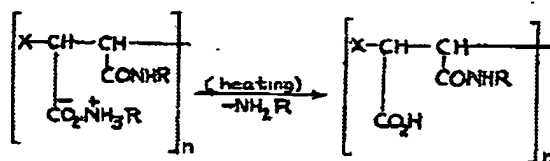
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The polymers taught by Calcaterra et al necessarily read on the polymers of claims 12-13 and 15-17 because identical chemical structures will necessarily possess the characteristics of the claimed product.

Claims 12, 13, 15 and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Smallman (GB 1241294, 1971; see the entire reference).

Smallman teaches amide-acid derivatives of alternating vinyl ether/maleic anhydride copolymers, described by the following reaction (e.g. page 1, lines 5-34):

The reaction may be represented as: —



where X represents the vinyl residue in the copolymer, R is hydrogen or alkyl, and n is an integer greater than 1.

Therefore, Smallman teaches alkyl vinyl ether-maleic anhydride alternating copolymers, wherein hydrophobic amides are covalently linked to anhydride monomers in the polymer.

The polymers taught by Smallman necessarily read on the polymers of claims 12, 13, 15 and 16 because identical chemical structures will necessarily possess the characteristics of the claimed product.

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Conclusion

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer Dunston whose telephone number is 571-272-2916. The examiner can normally be reached on M-F, 9 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Remy Yucel can be reached on 571-272-0781. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR, <http://pair-direct.uspto.gov>) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

Jennifer Dunston
Examiner
Art Unit 1636

jad


TERRY MCKELVEY
PRIMARY EXAMINER